

## Directions Governing Registration of National Certification Bodies

[Chronicle of Promulgation and Amendments]

Adopted and promulgated by the Bureau of Standards, Metrology and Inspection, Ministry of Economic Affairs on 2 September 2004.

1. These Directions are established for the conduction of registration of the National Certification Bodies (NCBs) and its associated CB Testing Laboratories (CBTLs) under the Scheme of the IECEE (IEC System for Conformity Testing and Certification of Electrical Equipment) for Mutual Recognition of Test Certificates for Electrical Equipment (hereinafter referred to as the CB Scheme).
2. For testing categories or commodity categories designated and announced by the Bureau of Standards, Metrology and Inspection of the Ministry of Economic Affairs (hereinafter referred to as the “BSMI”) in accordance with Paragraph Two, Article 21 of the Regulations Governing Recognition of Designated Testing Laboratories for Commodity Inspection, an NCB may apply to the BSMI for registration by submitting an application form and the following documents:
  - (1) Certificates of Acceptance of the CB Scheme for the NCB and CBTL;
  - (2) A brief introduction of the organization;
  - (3) The scope recognized by the CB Scheme for issuing CB test certificates;
  - (4) The procedures for issuing CB test certificates and an operational flow chart;
  - (5) The names, addresses, test scopes and relevant information of its associated CBTL; and
  - (6) Other documents designated by the BSMI.

An applicant shall commission an agent who has a domestic residence or business place to submit the application for registration on his/her behalf if the applicant is located in foreign countries.

3. The NCBs and its associated CBTLs that have been reviewed and approved will be granted registration on the scope receiving the said review.
4. If any changes occur to the relevant scope or information of a registered NCB or its associated CBTLs under the CB scheme, the NCB shall apply to the BSMI for modification of registration within two months from the date of changes by submitting an application form and relevant documents. However, this clause is not applicable to changes that do not affect the scope and relevant items registered by the BSMI.
5. The BSMI may request a registered NCB and its associated CBTLs to provide relevant documents for review and examination. The BSMI may also send its personnel to the registered NCB and its associated CBTLs to verify the said documents. The registered NCB and its associated CBTLs shall not evade, hinder, or refuse such visits unless good cause is shown.
6. If a registered NCB or its associated CBTL has any of the following circumstances, the BSMI may suspend the acceptance of its CB test certificates and CB test reports for transferring to a designated testing laboratory’s test report in whole or a part of the registered testing categories within a certain period of time. The BSMI shall resume the acceptance after the NCB or CBTL has completed the corrective action and gains approval from the BSMI:
  - (1) Where the qualification as an NCB or a CBTL has been suspended by the CB Scheme;
  - (2) Having violated relevant rules of the CB Scheme;
  - (3) Having failed to apply for modification of registration set forth under Clause 4;

- (4) Having failed to submit documents within the notified given time limit after receiving a notice unless good cause is shown;
  - (5) Having violated the provisions of Clause 5 by evading, hindering or refusing the review, examination or verification;
  - (6) Having failed to take appropriate actions and cooperate with the BSMI in handling disputes and having further failed to cooperate with the BSMI after receiving a notice to cooperate; or
  - (7) Other circumstances that are deemed by the BSMI as having unfavorable impact on the good practice for commodity inspection or quality of testing.
7. Where the registration of an NCB or a CBTL was acquired through fraudulent means, the BSMI shall revoke such registration.

An NCB or a CBTL shall not be allowed to apply for registration within 3 years after its registration is revoked.

8. The BSMI shall rescind the registration of a registered NCB or CBTL under any of the following circumstances:
- (1) Where the NCB or CBTL applies for cancellation of the registration;
  - (2) Where the qualification as an NCB or a CBTL has been withdrawn by the CB Scheme;
  - (3) Where the CB test certificates, CB test reports or relevant technical documents have been found to contain false or incorrect statements;
  - (4) Where the NCB or CBTL loses the ability to perform business functions or is unable to conduct the testing activities impartially and effectively;
  - (5) Where the NCB or CBTL fails to complete the corrective action within the given time limit set out in Paragraph 6 and gains approval from the BSMI;
  - (6) Where the NCB or CBTL does not pay related fees and subsequently fails to pay the fees within the given time limit after receiving the notification; or
  - (7) Other violations of these Directions that are deemed as substantial events by the BSMI.

An NCB or a CBTL shall not be permitted to apply for registration within 3 years after its registration is rescinded, except for the situation described in subparagraph 1 of the preceding paragraph or a special circumstance that has been approved by the BSMI.